

**RECEIVED  
CENTRAL FAX CENTER**

JAN 16 2004

**OFFICIAL****TO: EXAMINER****RE: PATENT APPLICATION ATTORNEY DOCKET NO. 10010811-1****IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE****INVENTOR(S):** Hoberock et al**CONFIRMATION NO:** 1566**SERIAL NO.:** 09/976068**GROUP ART UNIT:** 2131**FILED:** Oct 11, 2001**EXAMINER:****SUBJECT:** Computer or Computer Resource Lock Control Device and Method  
of Implementing Same**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following papers are being facsimile  
transmitted to the Patent and Trademark Office (Fax No. (703) 872-9306) on  
the date shown below:

1. This Certification of Facsimile Transmission (1 pg.)
2. Information Disclosure Statement (1 pg)
3. Form PTO-1449 (1 pg)
4. 4 of 6 cited reference (30 pg(s).)

Date of Facsimile 01/16/2004Typed Name Heather A. EllisSignature Heather A. Ellis**NUMBER OF PAGES IN FACSIMILE:** 33

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, Colorado 80527-2400

## PATENT APPLICATION

ATTORNEY DOCKET NO. 10010811-1

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL

Inventor(s): Tim M. Hoberock et al

Confirmation No.: 1566

Application No.: 09/976068

Examiner:

Filing Date: Oct 11, 2001

Group Art Unit: 2131

Title: Computer Or Computer Resource Lock Control Device And Method Of Implementing Same

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

RECEIVED  
CENTRAL FAX CENTER

## INFORMATION DISCLOSURE STATEMENT

JAN 16 2004

Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ( ) under 37 CFR 1.97 (c) together with either a:  
( ) Statement under 37 CFR 1.97(e), or  
( ) a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ( ) under 37 CFR 1.97 (d) together with a:  
( ) Statement under 37 CFR 1.97(e)(1) or (2), and  
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

- ( ) I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: \_\_\_\_\_

OR

- (X) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number 7031 872-9306 on Jan 16, 2004  
Number of pages: 33

Typed Name: Heather A. Ellis

Signature: Heather A. Ellis

Respectfully submitted,

Tim M. Hoberock et al

By James R. McDaniel

James R. McDaniel

Attorney/Agent for Applicant(s)  
Reg. No. 34,481

Date: 1/19/04

PATENT APPLICATION  
Sheet 1 of 1

#2

FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)	ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
	10010811-1	09/976,068	1566
	APPLICANT		
	Hoberock et al		
	FILING DATE	GROUP	
	Oct 11, 2001	2131	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,028,950	Feb 22, 2000	Merjanian	
	1B	6,056,193	May 02, 2000	McAuliffe et al	
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	DE19543455	Nov 27, 1997	Peschke, Peter		
	1M	DE19843372	Mar 23, 2000	LeGuin et al		
	1N	DE19905386	Aug 17, 2000	Buder, Steffen		
	1O	DE69116807	May 20, 1992	Thomson-CSF		
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED